

DEC 20 2006

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Customer Number

Patent

Case No.: 59526US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CONNER, ARLIE R.

Application No.: 10/776155

Confirmation No.: 3097

Filed: February 11, 2004

Title: RESHAPING LIGHT SOURCE MODULES AND ILLUMINATION SYSTEMS
USING THE SAME

INFORMATION DISCLOSURE STATEMENT

Mail Stop: RCE
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P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.☒ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.December 20, 2006
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Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

Respectfully submitted,

December 20, 2006
Date

By:

[Signature]
J. R. Pralle, Reg. No.: 52,131
Telephone No.: 651-733-6750Office of Intellectual Property Counsel
3M Innovative Properties Company
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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1	Application Number	10/776155
	Filing Date	February 11, 2004
	First Named Inventor	Conner, Arlie R.
	Confirmation Number	3097
	Attorney Case Number	59526US002

U.S. Patent Documents						
Exam. Init.*	Cite No.	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)				
	A1	US-	1,900,966	03/14/1933	Wolfe	
	A2	US-	1,932,817	10/31/1933	Gehrke	
	A3	US-	4,852,980	08/01/1989	Shinichi	
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	A7	US-	2005/0018141	01/27/2005	Hosaka	
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	A9	US-	2005/0179041	08/18/2005	Harbers et al.	
	A10	US-				
	A11	US-				
	A12	US-				
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